

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

H. William BOSCH et al.

Title:

NOVEL GLIPIZIDE COMPOSITIONS

Appl. No.:

10/701,064

Filing Date:

11/05/2003

Examiner:

Susan T. TRAN

Art Unit:

1615

Confirmation No.

6295

### TERMINAL DISCLAIMER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Your Petitioner, Elan Pharma International Limited, having its principal place of business at Treasury Building, Lower Grand Canal Street, Dublin 2, Ireland, represents that it is the owner of the entire right, title, and interest in and to U.S. Patent Application Serial No. 10/701,064, filed 11/05/2003, (which is a continuation of U.S. Patent Application No. 09/337, 675; filed 06/22/1999;) by virtue of an Assignment filed and recorded on 05/03/2004, on Reel/Frame 015294/0735, in the United States Patent and Trademark Office, a copy of which is attached hereto as APPENDIX A. Further, your Petitioner represents that it is the owner of U.S. Patent Application No. 09/337,675, filed 06/22/1999, by virtue of an Assignment filed and recorded on 02/01/2002, on Reel/Frame 012537/0180, in the United States Patent and Trademark Office, as recorded in the Patent Assignment Abstract of Title on the USPTO web site, a copy of which is attached hereto as APPENDIX B.

Your Petitioner, Elan Pharma International Limited, hereby disclaims the terminal part of the term of any patent granted on U.S. Patent Application 10/701,064 which would extend beyond the full statutory term, as shortened by any terminal disclaimer, of any

patent granted on U.S. Patent Application 09/337,675, and hereby agrees that any patent so granted on U.S. Patent Application 10/701,064 shall be enforceable only for and during such period that the legal title to any patent granted on U.S. Patent Application 09/337,675 shall be the same as the legal title to any patent granted on U.S. Patent Application 10/701,064, this agreement to run with any patent granted on U.S. Patent Application 10/701,064 and to be binding upon the grantee, its successors or assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of any patent granted on U.S. Patent Application 10/701,064, prior to the full statutory term of any patent granted on U.S. Patent Application 09/337,675 as defined in 35 USC §§ 154-156 and 173, in the event that any patent granted on U.S. Patent Application 09/337,675 expires for failure to pay a maintenance fee, is held unenforceable or is found invalid in a final judgment by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR § 1.321(a), has all claims canceled by a reexamination certificate or as a result of an interference proceeding, or is otherwise not deemed to provide the rights conveyed by 35 USC § 154, prior to the full statutory term of any patent granted on U.S. Patent Application 09/337,675 as defined in 35 USC §§ 154-156 and 173, except for the separation of legal title stated above. Further, Petitioner does not disclaim any terminal part of a patent granted on U.S. Patent Application 10/701,064 that would extend beyond the present termination of any patent granted on U.S. Patent Application 09/337,675, in the event that such present term is extended by virtue of compliance with the conditions for term extension of any present or future patent term extension provisions of the patent law, including but not limited to 35 USC §§ 155, 155A or 156, and without waiving Petitioner's right to extend the term of a patent granted on U.S. Patent Application 10/701,064 to the extent provided by law.

The undersigned, being the Attorney of Record for U.S. Patent Application 10/701,064, and duly authorized to act on behalf of Petitioner, certifies that she has reviewed the Assignments attached as APPENDICES A and B, and to the best of her knowledge and belief, legal title to U.S. Patent Application 10/701,064 and any patent granted on U.S. Patent Application 09/337,675 rests with Petitioners, Elan Pharma International Limited. The

undersigned declares that all statements made herein of her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing therefrom.

Respectfully submitted,

Date

FOLEY & LARDNER LLP

Customer Number: 31049 Telephone: (202) 672-5538

Facsimile: (202) 672-5399

y \_\_\_\_\_\_\_\_\_

Michele M. Simkin Attorney for Applicants

Registration No. 34,717

# **APPENDIX A**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 27, 2004

**PTAS** 



FOLEY & LARDNER MICHELE M. SIMKIN WASHINGTON HABROUR 3000 K STREET, N.W., SUITE 500 WASHINGTON, D.C. 20007-5143

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/03/2004

REEL/FRAME: 015294/0735

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BOSCH, H. WILLIAM

DOC DATE: 11/12/2003

ASSIGNOR:

RYDE, NIELS P.

DOC DATE: 11/14/2003

ASSIGNEE:

ELAN PHARMA INTERNATIONAL LIMITED WIL HOUSE, SHANNON BUSINESS PARK SHANNON, CO. CLARE, IRELAND

SERIAL NUMBER: 10701064

PATENT NUMBER:

FILING DATE: 11/05/2003

ISSUE DATE:

TITLE: NOVEL GLIPIZIDE COMPOSITIONS

015294/0735 PAGE 2

MAURICE CARTER, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Additional conveying party(ies)  Additional conveying party(ies)  ASSIGNMENT  Execution Date:  November 12 and 14, 2003  Application number(s) or patent number(s):  If this is being filed together with a new application, the execution A. Patent Application Number(s):  10/701,064  Additional numbers at concerning document should be mailed:  A patent Application of the United States Patent a.  Additional numbers at concerning document should be mailed:	IEET Patent and Traderrerk Office 8864.  Please record the attached original documents or copies thereof.  Name and address of receiving party(ies):  Elan Pharma International Limited WIL House, Shannon Business Park Shannon, Co. Clare Ireland  dditional name(s) & address(es) attached?
To the Director of the United States Patent a.  1. Name of conveying party(ies).  1. Name of conveying party(ies).  1. William Bosch Niels P. Ryde  Additional conveying party(ies)  3. Nature of conveyance:  ASSIGNMENT  Execution Date:  November 12 and 14, 2003  Application number(s) or patent number(s):  If this is being filed together with a new application, the execution A. Patent Application Number(s):  10/701,064  Name and address of party to whom correspondence concerning document should be mailed:	HEET  Palent and Traderrenk Office  3864 L  Please record the attached original documents or copies thereof.  Name and address of receiving party(ies):  Elan Pharma International Limited WIL House, Shannon Business Park Shannon, Co. Clare Ireland  Additional name(s) & address(es) attached?  NO  ion date of the application is:
Additional conveying party(ies)  Additional conveying party(ies)  No  Assignment  Execution Date:  November 12 and 14, 2003  Application number(s) or patent number(s):  If this is being filed together with a new application, the execution  A. Patent Application Number(s):  10/701,064  Name and address of party to whom correspondence concerning document should be mailed:	Please record the attached original documents or copies thereof.  2. Name and address of receiving party(ies):  Elan Pharma International Limited WIL House, Shannon Business Park Shannon, Co. Clare Ireland  Additional name(s) & address(es) attached?  NO
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Additional conveying party(ies)  3. Nature of conveyance:  ASSIGNMENT  Execution Date:  November 12 and 14, 2003  4. Application number(s) or patent number(s):  If this is being filed together with a new application, the execution  A. Patent Application Number(s):  10/701,064  Additional numbers at concerning document should be mailed:  6.	WIL House, Shannon Business Park Shannon, Co. Clare Ireland  additional name(s) & address(es) attached? NO  ion date of the application is:
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A. Patent Application Number(s):  10/701,064  Additional numbers at concerning document should be mailed:  6.	
Name and address of party to whom correspondence concerning document should be mailed:  6.	B. Patent Number(s):
Additional numbers at Name and address of party to whom correspondence concerning document should be mailed:  6.	
concerning document should be mailed:  6.	
concerning document should be mailed:  6.	ettached? NO
7	Total number of englishing /
Michala M. Simkin	
	Total fee (37 C.F.R. § 3.41): \$40.00
FOLEY & LARDNER LLP Washington Harbour	Check Enclosed
3000 K Street, N.W., Suite 500	Charge to deposit account
Washington, D.C. 20007-5143 8.	Deposit account number: 19-0741
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Statement and signature:  To the best of my knowledge and belief, the foregoing infonction of the original document. The Commissioner is hereby authority required in this matter to the above-identified deposit account.  Michele M. Simkin	rmation is true and correct and any attached copy is a true rized to charge any additional recordation fees which may
Name of person signing	5/3/04
	Signature Date
Total number of pages inc	cluding cover sheet, attachments, and document: 3

05/0

01 FC:8021

40.00 DP

002.1190871.1

### ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

name and ELAN PHARMA INTERNATIONAL, LTD. WIL House, Shannon Business Park, Shannon, Co. Clare, Ireland address of assignee(s) its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries in and to the invention in title of invention NOVEL GLIPIZIDE COMPOSITIONS as set forth in this United States Patent Application check one executed concurrently herewith executed on 10/701,064 Serial No.

Filed 11/05/2003

said application for United States Letters Patent, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications and every priority right that is or may be predicated upon or arise from said inventions, said applications, and said Letters Patent; said Assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said Assignee or otherwise as said Assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America being hereby authorized to issue or transfer all said Letters Patent to said Assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will do all acts reasonably serving to ensure that the said inventions, patent applications and Letters Patent shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said inventions or

the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be of said inventions, and to testify in any proceedings relating to said inventions, patent applications and Letters Patent.

The undersigned hereby grant the firm of FOLEY & LARDNER the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAM	MES AND SIGNATURES OF INVENTO	)DC	
Name: H. William Bosch	Signature: N. William Bosel		
Name: Niels P. Ryde	Signature: Nuls D. Roll	Date: Nov. 12.2003  Date: Nov. 14, 2003	
Name:	Signature:	Date:	
NAMES AND SIGNATURES OF WITNESSES			
Name: CAROL WRAY	Signature:	Date: NOV 12, 2003	
Name: CAROL WEARY	Signature: LOCO	Date: Nov 14, 2003	

Note: Prima facie evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

IPT-407:4/90(1)(modified)

## **APPENDIX B**



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### Assignments on the Web > Patent Query

### **Patent Assignment Abstract of Title**

NOTE:Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

**Total Assignments: 1** 

Patent #: NONE

Issue Dt:

**Application #: 09337675** 

Filing Dt: 06/22/1999

Publication #: 20020012675

Pub Dt: 01/31/2002

Inventors: RAJEEV A. JAIN, JON SWANSON, ROBERT HONTZ, JOHN DEVANE, KENNETH IAIN CUMMING et al

Title: CONTROLLED-RELEASE NANOPARTICULATE COMPOSITIONS

Assignment: 1

Reel/Frame: 012537/0180

Recorded: 02/01/2002

Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: SWANSON, JON

Exec Dt: 01/25/2002

JAIN, RAJEEV A.

Exec Dt: 01/25/2002

HONTZ, ROBERT

Exec Dt: 01/25/2002

DEVANE, JOHN G.

Exec Dt: 12/19/2001

CUMMING, KENNETH IAIN

Exec Dt: 01/03/2002

CLANCY, MAURICE JOSEPH ANTHONY

Exec Dt: 12/21/2001

CODD, JANET ELIZABETH

Exec Dt: 12/14/2001

Assignee: ELAN PHARMA INTERNATIONAL LIMITED

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Correspondent: FOLEY & LARDNER

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WASHINGTON, D.C. 20007-5143

Search Results as of: 06/11/2007 08:18 AM

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